## Agenda Item 3



STATE OF NEVADA COMMISSION ON ETHICS http://ethics.nv.gov

#### MINUTES of the meeting of the NEVADA COMMISSION ON ETHICS' LEGISLATIVE SUBCOMMITTEE

The Commission on Ethics' Legislative Subcommittee held a public meeting on Wednesday, April 20, 2020, at 10:30 a.m. at the following location:

#### Grant Sawyer State Building Governor's Conference Room Suite 5100 555 E. Washington Avenue Las Vegas, NV 89101

#### Zoom Meeting Information

https://us06web.zoom.us/j/82849521118?pwd=RFNiYnl6N2dwRFZwUGtmZUJNeVY1UT09

Zoom Meeting Telephone Number: 720-707-2699 \* Meeting ID: 828 4952 1118 **Passcode:** 445288

These minutes constitute a summary of the above proceedings of the Nevada Commission on Ethics' Legislative Subcommittee. A recording of the meeting is available for public inspection at the Commission's office.

1. Call to Order and Roll Call.

Chair Wallin, CPA, CMA, CFM appeared in-person in the Governor's Conference Room in Las Vegas and called the meeting to order at 10:30 a.m. Also appearing in-person was Commissioner James Oscarson. Vice-Chair Brian Duffrin appeared via videoconference. Present for Commission staff in Las Vegas were Executive Director Ross E. Armstrong, Esq., Commission Counsel Tracy L. Chase, Esq., Associate Counsel Elizabeth Bassett, Esq. and Executive Assistant Kari Pedroza. Senior Legal Researcher Darci Hayden appeared via videoconference.

2. Public Comment.

There was no public comment.

3. Identification of proposed priorities for inclusion in the Commission's Bill Draft Request for the 82nd Legislative Session (2023) to amend NRS Chapter 281A, Nevada's Ethics in Government Law) including, without limitation, review of Assembly Bill 65, as amended, from the 81st Legislative Session (2021) and information detailing Executive and Legislative Branch Ethics Commissions.

Chair Wallin introduced the Item and asked Executive Director Armstrong for his presentation.

Executive Director Armstrong directed the Subcommittee to the proposed legislative priorities document titled *Reference Guide to AB 65 Provisions* (Attached as Attachment A to these minutes) and included in the Subcommittee meeting materials. He explained the formatting of the proposed priorities as outlined at the top of the document with highlights based on staff's recommended level of priority. Executive Director Armstrong presented the proposed changes to be included in the Commission's legislation to the Subcommittee Members.

The Subcommittee Members agreed the following sections of AB 65 <u>be included with the</u> <u>proposed language provided</u>:

Section(s)	Purpose
Sections 1-6	Definitional clean-up
Section 7	Clarifies the application of the Ethics Law to former public officers and employees after the end of public service if they violate the cooling-off provisions. Paragraph 2 confirms the Commission has the ability to consider whether more than one violation of the Ethics Law applies to a given set of facts.
Section 9	Serves to preserve the confidentiality protections afforded to Requesters of advisory opinions when the Requester seeks judicial review.
Section 11	Institutes a requirement that is consistent with and already imposed by the Secretary of State (NRS 281.574) for those governmental entities who have public officers within the jurisdiction of the Ethics Law to provide a list of appointed and elected public officers which the Commission can utilize to confirm Acknowledgment of Ethical Standards form filing compliance.
Sections 13-19	Clean-up of definitions and language
Section 20	Clarifies the Vice-Chair may perform the duties of the Chair in the Chair's absence.
Section 21	Establishes a mediation and settlement process with consent of the parties for complaint cases referred for adjudication. This section also permits panel members to conduct confidential mediations and settlement negotiations including authorizing and approving deferral agreements.
Sections 23-24	Section 23 has miscellaneous language clean-up and section 24 confirms the boundaries of the legal representation of Commission Counsel to assure there is no impingement on the due process rights of the parties in complaint proceedings.
Section 27	Clarifies that subpoenas would apply to personnel records of public officers/employees relevant to the consideration of the ethics complaints.
Section 29	Clarifies the policy provision of the "limited use exception" and permits otherwise improper use of government property and resources if the use is permitted by way of an existing written policy and the limited use does not create an appearance of impropriety.
Section 30	Miscellaneous language clean-up
Section 33	Limits cooling-off prohibitions to certain management-level employees, while the one-year prohibitions against private employment with agency contract vendors will include employees who have material influence in management or administration of those contracts in addition to public officers/employees whose position could influence the award of contracts.
Section 34	Miscellaneous language clean-up

Section(s)	Purpose
Section 35	Clarifies that the Commission may gather additional information needed from the Requester to process the advisory opinion to create a more streamlined and collaborative process and tolls the 45-day deadline for the period of time until the additional information is provided by the Requester.
Section 36	<ol> <li>Provides statutory discretion for the Commission to grant appropriate extensions of statutory deadlines for good cause to issue advisory opinions. Any extensions would have a designated deadline</li> <li>Permits the Commission to stay advisory opinion proceedings when ethics complaints are filed on the same or similar matter.</li> <li>Clarifies judicial review is not afforded on guidance applicable to past conduct (consistent with an <i>En Banc</i> Order issued by the Nevada Supreme Court in interpreting application of the Ethics Law in a confidential advisory matter on past conduct).</li> </ol>
Section 38	Clerical revision allowing the Commission to hold a confidential advisory opinion hearing.
Sections 39-40	Miscellaneous language clean-up
Section 45	Allows the Executive Director to serve a notice detailing the violations the Review Panel refers to the Commission for further proceedings, which serves to provide relevant details to subjects of the complaint and to protect the identity of requesters filing complaints that are entitled to maintain their name as confidential under current law.
Section 46	Provides confidentiality protection for those persons who file complaints by instituting a "notice of allegations" instead of providing a copy of the Complaint.
Section 47	Adds a schedule for discovery, which identifies parties, provides discovery, a notice of hearing and addresses other procedural matters.
Section 48	Makes the final action of the Commission exempt from the procedural requirements of the OML, which require special notice and publication of public meeting materials, which notice is hindered given the dynamics of the confidential adjudicatory process, including confidential settlement negotiations.
Section 49	Includes miscellaneous clean up.
Sections 50-53	Clarifies that the requirement for the Commission to treat comparable situations in a comparable manner is qualified by practicality and confirms the Commission may resolve complaint cases by stipulation, deferral agreement, consent order, and/or opinion.

The Subcommittee Members agreed the following sections of AB 65 <u>could be included</u> <u>with revised language</u>:

Section(s)	Purpose
Section 10	Revises NRS 281A.400 to include an additional code of conduct for a gross or unconscionable abuse of power.
Section 25	Establishes that the 2-year statute of limitations applies to advisory opinions on past conduct.

Section 31	Clarifies that NRS 281A.420 does not require the disclosure of otherwise confidential information if a disclosure is made on non-confidential information and there is a recusal. Separately, this section establishes the same abstention process for disclosures of paid representation as is required of all other disclosures.
Sections 41-42	Section 41 confirms the ability to conduct a preliminary investigation into jurisdictional facts to assist the Commission with ascertaining whether it should initiate a complaint and whether it has jurisdiction over the conduct. Section 42 allows the Commission to consider good cause extensions and establish deadlines based upon a request from the Executive Director and to secure the public officer's or public employee's participation through its subpoena powers set forth in NRS 281A.300.
Section 43	Authorizes the presiding officer of the Review panel to grant good cause extensions during the pre-panel investigative phase.
Section 44	Provides the Review Panel will have 45 days (rather than 15 days) to conclude the investigation after receipt of the Executive Director's written recommendation.

The Subcommittee Members agreed the following sections of AB 65 would NOT be included in upcoming Legislation and could be considered for the next Legislative Session:

Section(s)	Purpose
Section 8	Seeks reasonable assistance and cooperation of public officers and employees in the Commission's proceedings.
Section 12	Allows the Commission to provide information obtained during an Ethics investigation to state or federal law enforcement agencies.
Section 22	Added requirement that the Executive Director be an attorney licensed to practice law in the State of Nevada.
Section 26	Permits a certified court reporter or other authorized person to administer oaths in Commission meetings and proceedings.
Section 28	Permits the Commission to accept requests for advice as a referral on behalf of the public officer or employee whose conduct is at issue.
Section 32	Replaces the term "willful" with "refuses" with respect to a violation for failure to file an acknowledgment form and removes the failure to file the form as an event of nonfeasance of office.
Section 36	3) Establishes a process for informal advice rendered by the Executive Director or Commission Counsel based upon and consistent with established precedent and provides the Requester the ability to obtain review by the Commission.
Section 37	Establishes a process permitting the Executive Director or Commission Counsel to render informal advice and confirms that process is afforded the same confidentiality protections as a formal request for advisory opinion.
Section 54	Gives safe harbor protections for public officers/employees seeking preventative informal ethics advice and confirms that separate violations of the ethics law may be treated cumulatively for purpose of application of the penalty provisions of NRS 281A.790.

Section(s)	Purpose
Sections 57-111	Portions of these sections creating the Legislature's ethics model are best pursued by the Legislature given the Governor's request for a cost analysis in his veto letter dated June 11, 2021.

Commissioner Oscarson requested that the prioritized language be incorporated in NRS Chapter 281A as typically done with submitted amendments reflecting the changes as different colored, highlighted, strike-through and underlined text.

Vice-Chair Duffrin moved to direct staff to proceed with the discussed recommendations to the Commission's proposed legislation and provide those requested revisions to the Subcommittee at its next meeting on May 4, 2022. Commissioner Oscarson seconded the motion. The Motion was put to a vote and carried unanimously.

4. <u>Commissioner Comments on matters including, without limitation, identification of future agenda items, upcoming meeting dates and meeting procedures. No action will be taken under this agenda item.</u>

There were no Commissioner comments.

5. Public Comment.

No public comment.

6. Adjournment.

Vice-Chair Duffrin made a motion to adjourn the public meeting. Commissioner Oscarson seconded the motion. The Motion was put to a vote and carried unanimously.

The meeting adjourned at 12:07 p.m.

Minutes prepared by:

/s/ Kari Pedroza

Kari Pedroza Executive Assistant

/s/ Ross Armstrong

Ross Armstrong, Esq. Executive Director Minutes approved May 4, 2022:

Kim Wallin, CPA, CMA, CFM Vice-Chair

Brian Duffrin Commissioner

James Oscarson Commissioner

# Agenda Item 4

А. В.		MMITTEE ON LEGISLATIVE OPERATIONS AND ELECTIONS
65		(ON BEHALF OF THE COMMISSION ON
	А	ETHICS) PREFILED NOVEMBER 18, 2020
	S S E M	Referred to Committee on Legislative Operations and Elections
	B L Y	SUMMARY—Revises provisions relating to ethics in government. (BDR 23-257)
	B I L L	FISCAL NOTE: Effect on Local Government: May have Fiscal Impact. Effect on the State: Yes. CONTAINS UNFUNDED MANDATE (§ 11) (NOT REQUESTED BY AFFECTED LOCAL GOVERNMENT)
	N O	EXPLANATION – Matter in <i>bolded italics</i> is new; matter between brackets [omitted-material] is material to be omitted.
	6 5 - C 0	AN ACT relating to ethics in government; making various changes relating to the provisions governing ethics in government; providing penalties; and providing other matters properly relating thereto.

### THE PEOPLE OF THE STATE OF NEVADA, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 **Section 1.** NRS 281.5584 is hereby amended to read as 2 follows:

3 281.5584 "Financial disclosure statement" or "statement" 4 means a financial disclosure statement in the electronic form or 5 other authorized form prescribed by the Secretary of State pursuant 6 to NRS 281.5555 to 281.581, inclusive . [, or in the form approved 7 by the Secretary of State for a specialized or local ethics committee 8 pursuant to NRS 281A.350.]

9 Sec. 2. Chapter 281A of NRS is hereby amended by adding 10 thereto the provisions set forth as sections 3 to 12, inclusive, of this 11 act.

12 Sec. 3. "Chair" means:

- 13 1. The Chair of the Commission; or
- 14 2. The Vice Chair or another member of the Commission 15 serving in the capacity of the Chair pursuant to NRS 281A.210.
- Sec. 4. "Party" means, for the purposes of the adjudicatory
   Hearing or other disposition of proceedings before the Commission concerning an ethics complaint
- 18 *pursuant to this chapter:*
- 19 1. The Executive Director or his or her designee; and
- 20 2. The public officer or employee who is the subject of the 21 ethics complaint.
- 22 Sec. 5. "Published opinion" means an opinion issued by the 23 Commission that is publicly available on the Internet website of 24 the Commission.
- 25 **Sec. 6.** *"Statutory ethical standards" means the statutory* 26 *ethical standards set forth in the provisions of this chapter.*
- 27 Sec. 7. 1. The provisions of this chapter establish statutory 28 ethical standards to govern the conduct of:
- 29 (a) Public officers and employees; and
- 30 (b) Former public officers and employees in situations where 31 the statutory ethical standards apply to the conduct of former 32 public officers and employees after the end of any period of public
- 33 service or employment.

1 2. The statutory ethical standards are cumulative and 2 supplement each other, and the application of any one of the 3 statutory ethical standards to a given set of facts and 4 circumstances does not bar the application of any other of the 5 statutory ethical standards that also apply to the given set of facts 6 and circumstances.

7 Sec. 9. During any period in which proceedings concerning 8 a request for an advisory opinion or an ethics complaint are 9 confidential pursuant to this chapter, the provisions of chapter 241 10 of NRS do not apply to any meeting or hearing held by the 11 Commission or any deliberations or actions of the Commission 12 involving:

13 1. Any decisions in litigation concerning any judicial action 14 or proceeding related to the request for an advisory opinion or the 15 ethics complaint; or

16 2. Any delegation of authority to make such decisions in the 17 litigation to the Chair or the Executive Director, or both, pursuant 43 to NRS 241.0357.

44 Sec. 10. 1. A public officer or employee shall not use the 45 public officer's or employee's position or power in government to

1 take any actions or compel a subordinate to take any actions that a 2 reasonable person would find, based on the given set of facts and 3 circumstances, to be a gross or unconscionable abuse of official 4 position or power that would undermine the integrity or 5 impartiality of a reasonable person in the public officer's or 6 employee's position under the same or similar facts and 7 circumstances.

8 2. The provisions of this section must not be interpreted to 9 apply to any allegations claiming only bias, error or abuse of 10 discretion in any findings, decisions, policy-making or other 11 actions taken by a public officer or employee within the normal 12 course and scope of his or her position or power in government.

13 Sec. 11. A list of each public officer who is required to file an 14 acknowledgment of the statutory ethical standards in accordance 15 with NRS 281A.500 must be submitted electronically to the 16 Commission, in the form prescribed by the Commission, on or 17 before December 1 of each year by:

18 1. For an appointed public officer, the appointing authority 19 of the public officer, including, without limitation:

20 (a) The manager of each local agency for a public officer of a 21 local agency;

22 (b) The Director of the Legislative Counsel Bureau for a 23 public officer of the Legislative Department of the State 24 Government; and

(c) The Director of the Department of Administration, or his 25 26 or her designee, for a public officer of the Executive Department 27 of the State Government; and 28 2. For an elected public officer of: 29 (a) A county and other political subdivisions within the county 30 except cities, the county clerk; 31 (b) A city, the city clerk; 32 (c) The Legislative Department of the State Government, the 33 Director of the Legislative Counsel Bureau; and 34 (d) The Executive Department of the State Government, the Director of the Department of Administration, or his or her 35 36 designee. NRS 281A.030 is hereby amended to read as follows: 1 Sec. 13. 2 281A.030 As used in this chapter, unless the context otherwise 3 requires, the words and terms defined in NRS 281A.032 to 281A.170, inclusive, and sections 3 to 6, inclusive, of this act have 4 5 the meanings ascribed to them in those sections. 6 **Sec. 14.** NRS 281A.032 is hereby amended to read as follows: 7 "Adjudicatory hearing" means a hearing held by the 281A.032 8 Commission pursuant to NRS 281A.745 to receive evidence and *render a decision* concerning an ethics complaint . [and render an 9 10 opinion in the matter.] **Sec. 15.** NRS 281A.033 is hereby amended to read as follows: 11 12 281A.033 "Advisory opinion" means an advisory opinion 13 **[rendered]** issued by the Commission pursuant to NRS 281A.670 to 14 281A.690, inclusive. 15 **Sec. 16.** NRS 281A.065 is hereby amended to read as follows: 16 281A.065 "Commitment in a private [capacity," with respect tothe interests of another person, capacity" means a private 17 commitment, interest or relationship of a public officer or employee 18 19 to: [a person:] 20 1. [Who is the] The spouse or domestic partner of the public 21 officer or employee; 22 [Who is a] A member of the household of the public officer 2. 23 or employee: 3. [Who is related to] A relative of the public officer or 24 25 employee, or to the spouse or domestic partner of the public officer or employee, by blood, adoption, marriage or domestic 26 27 partnership within the third degree of consanguinity or affinity; 28 4. [Who employs] The employer of the public officer or employee, the spouse or domestic partner of the public officer 29

or employee or a member of the household of the public officer or
 employee;

3 5. [With] A person with whom the public officer or employee 4 has a substantial and continuing business relationship; or

5 6. [With] A person with whom the public officer or employee 6 has any other *private* commitment, interest or relationship that is 7 substantially similar to a *private* commitment, interest or 8 relationship described in subsections 1 to 5, inclusive.

9 Sec. 17. NRS 281A.088 is hereby amended to read as follows:

10 281A.088 "Ethics complaint" means [a request for an opinion] 11 *an ethics complaint* which is filed with the Commission or initiated

12 by the Commission on its own motion pursuant to NRS 281A.710

13 regarding the propriety of the conduct of a public officer or

- 14 employee under this chapter the statutory ethical standards . [set forth in this
- 15 chapter.]

16 **Sec. 18.** NRS 281A.135 is hereby amended to read as follows:

17 281A.135 1. "Opinion" means an opinion [rendered]-*issued* 18 by the Commission in accordance with the provisions of this 19 chapter.

20 2. The term includes, without limitation, the disposition of an 21 ethics complaint by stipulation, agreed settlement, consent order or 22 default as authorized by NRS 233B.121.

23 Sec. 19. NRS 281A.161 is hereby amended to read as follows:

24 281A.161 "Request for an advisory opinion" means a request 25 for an advisory opinion which is filed with the Commission 26 pursuant to NRS 281A.675 . [by a public officer or employee who 27 is:

28 <u>1. Seeking guidance on matters which directly relate to the</u>
 29 propriety of his or her own past, present or future conduct as a
 30 public officer or employee under the statutory ethical standards set
 31 forth in this chapter; or

32 <u>2. Requesting relief pursuant to NRS 281A.410, 281A.430 or</u> 33 281A.550.]

34 Sec. 20. NRS 281A.210 is hereby amended to read as follows:

35 281A.210 1. The Commission shall [+

36 (a) At] at its first meeting of the fiscal year and annually

thereafter elect a Chair and Vice Chair from among its members. 37 [(b) Meet]

38 2. If the Chair is prohibited from acting on a particular

39 matter or is otherwise unable to act on a particular matter, the

40 Vice Chair shall exercise the powers and functions and perform 41 the duties of the Chair concerning that particular matter. If the

- 41 the auties of the Chair concerning that particular matter. If the 42 Chair and Vice Chair are prohibited from acting on a particular
- 42 Chair and vice Chair are promoted from acting on a particular 43 matter or are otherwise unable to act on a particular matter,

44 another member of the Commission who is designated in

1 accordance with the regulations of the Commission shall exercise

2 the powers and functions and perform the duties of the Chair
3 concerning that particular matter.

*3. The Commission shall meet* regularly at least once in each
calendar quarter, unless there are no ethics complaints or requests
for advisory opinions pursuant to this chapter, and at other times
upon the call of the Chair.

8 [2.] 4. Members of the Commission are entitled to receive a 9 salary of not more than \$80 per day, as fixed by the Commission, 10 while engaged in the business of the Commission.

11 [3.]-5. While engaged in the business of the Commission, each 12 member and employee of the Commission is entitled to receive the 13 per diem allowance and travel expenses provided for state officers 14 and employees generally.

15 [4.] 6. The Commission may, within the limits of legislative 16 appropriation, maintain such facilities as are required to carry out its 17 functions.

18

Sec. 21. NRS 281A.220 is hereby amended to read as follows:

19 281A.220 1. The Chair shall appoint one or more review 20 panels of three members of the Commission on a rotating basis to 21 perform the functions assigned to such review panels pursuant to 22 this chapter.

23 2. The Chair and Vice Chair of the Commission may not serve24 together on a review panel.

25 3. Not more than two members of a review panel may be 26 members of the same political party.

4. If a review panel determines that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in a matter, the members of the review panel shall not participate in any further proceedings of the Commission relating to that matter  $\frac{1}{2}$ , *except that:* 

32 (a) One or more members of the review panel may, with the

33 consent of the parties, participate as mediators or facilitators in

34 any settlement negotiations between the parties that are conducted after issuance of the review panel determination and before an adjudicatory hearing in the matter.

35 (b) The members of the review panel may authorize the 36 development of or approve a deferral agreement pursuant to 38 NRS 281A.730.

Sec. 23. NRS 281A.240 is hereby amended to read as follows:
 281A.240 1. In addition to any other duties imposed upon the
 Executive Director, the Executive Director shall:

4 (a) Maintain complete and accurate records of all transactions 5 and proceedings of the Commission.

6 (b) Receive ethics complaints and requests for advisory opinions

7 pursuant to this chapter.

8 (c) Gather information and conduct investigations regarding 9 ethics complaints and requests for advisory opinions pursuant to this 10 chapter.

11 (d) [Submit] Present recommendations to the review panel 12 regarding whether there is just and sufficient cause for the 13 Commission to render *a decision and issue* an opinion in a matter.

14 (e) Recommend to the Commission any regulations or 15 legislation that the Executive Director considers desirable or 16 necessary to improve the operation of the Commission and maintain 17 high standards of ethical conduct in government.

18 (f) Upon the request of any public officer or the employer of a 19 public employee, conduct training on the requirements of this

chapter, the rules and regulations adopted by the Commission and 1 2 **[previous]** *the published* opinions of the Commission. In any such training, the Executive Director shall emphasize that the Executive 3 4 Director is not a member of the Commission and that only the Commission may issue opinions concerning the application of the 5 6 statutory ethical standards to any given set of facts and 7 circumstances. The Commission may charge a reasonable fee to 8 cover the costs of training provided by the Executive Director 9 pursuant to this paragraph.

10 (g) Perform such other duties, not inconsistent with law, as may 11 be required by the Commission.

2. The Executive Director shall, within the limits of legislative
appropriation, employ such persons as are necessary to carry out any
of the Executive Director's duties relating to:

15 (a) The administration of the affairs of the Commission; and

16 (b) The investigation of matters under the jurisdiction of the 17 Commission.

3. If the Executive Director is prohibited from acting on a particular matter or is otherwise unable to act on a particular matter, the Chair [of the Commission] shall designate a qualified person to perform the duties of the Executive Director with regard to that particular matter.

23 Sec. 24. NRS 281A.260 is hereby amended to read as follows:

24 281A.260 1. The Commission Counsel is the legal adviser to the Commission. For each written opinion of the Commission, 25 the Commission Counsel shall prepare, at the direction of the 26 Commission [,] or as required pursuant to this chapter, the 27 appropriate findings of fact and conclusions as to the relevant 28 29 *statutory ethical* standards and the propriety of particular conduct. The Commission Counsel shall not issue written opinions 30 31 concerning the applicability of the statutory ethical standards to a 32 given set of facts and circumstances except as directed by the 33 Commission.

6

34 The Commission may rely upon the legal advice of the 2. 35 Commission Counsel in conducting its daily operations.

Except as otherwise provided in this section or directed by 36 37 the Commission, in litigation concerning any judicial action or proceeding in which the Commission or any member or employee 38 39 of the Commission is a party in an official capacity or participates or intervenes in an official capacity, the Commission Counsel 40 41 shall represent and act as legal counsel to the Commission or any member or employee of the Commission in the action or 42 43 proceeding.

1 4. The provisions of subsection 3 do not apply to litigation 2 concerning any judicial action or proceeding in which the 3 Commission:

(a) Requests that the Attorney General appoint a deputy to act 4 5 in the place of the Commission Counsel; or

(b) Employs outside legal counsel.

The Commission Counsel shall not represent and act as 7 5. legal counsel for the Executive Director in any judicial action or 8 proceeding in which the Executive Director is named as a party 9 based upon conduct in the official capacity of the Executive 10 11 Director as a party to an adjudicatory proceeding.

12 If the Commission Counsel is prohibited from acting on a **6**. 13 particular matter or is otherwise unable to act on a particular matter, 14 the Commission may:

15 (a) Request that the Attorney General appoint a deputy to act in 16 the place of the Commission Counsel; or 17

(b) Employ outside legal counsel.

18 **Sec. 25.** NRS 281A.280 is hereby amended to read as follows:

281A.280 1. Except as otherwise provided in this section, the 19 20 Commission has jurisdiction to *[investigate]*:

21 (a) Gather information and issue an advisory opinion in any proceeding commenced by a request for an advisory opinion that 22 23 is filed with the Commission, except that the Commission does not 24 have jurisdiction to issue an advisory opinion on matters which directly relate to the propriety of past conduct occurring more than 25 2 years before the date on which the request for an advisory 26 27 opinion is filed with the Commission.

28 (b) *Investigate* and take appropriate action regarding an alleged 29 violation of this chapter by a **[public officer or employee]** current or 30 former public officer or employee in any proceeding commenced by 31 an ethics complaint, which is filed with the Commission or initiated 32 by the Commission on its own motion, within 2 years after the 33 alleged violation or reasonable discovery of the alleged violation.

(c) Investigate and take appropriate action regarding an 34

alleged violation of subsection 3 of NRS 281A.790 by a current or
former public officer or employee or any other person in any
proceeding commenced by a written notice of the charges, which
is initiated by the Commission on its own motion, within 2 years

- after the alleged violation or reasonable discovery of the alleged
   violation.
- 2. The Commission does not have jurisdiction regarding
  alleged conduct by a [public officer or employee] current or former
  public officer or employee for which:
- 44 (a) A complaint may be filed or, if the applicable limitations 45 period has expired, could have been filed with the United States
  - Equal Employment Opportunity Commission or the Nevada Equal
     Rights Commission; or
- 3 (b) A complaint or employment-related grievance may be filed 4 or, if the applicable limitations period has expired, could have been 5 filed with another appropriate agency with jurisdiction to redress 6 alleged discrimination or harassment, including, without limitation, 7 a state or local employee-management relations board or similar 8 state or local agency,
- 9  $\rightarrow$  but any bar on the Commission's jurisdiction imposed by this 10 subsection applies only to the extent that it pertains to the alleged 11 discrimination or harassment, and this subsection does not deprive 12 the Commission of jurisdiction regarding the alleged conduct if such 13 conduct is sanctionable separately or concurrently under the 14 provisions of this chapter, irrespective of the alleged discrimination 15 or harassment.
- 3. For the purposes of this section, a proceeding is commenced
  17 : by an ethics complaint:
- 18 (a) On the date on which [an]-the ethics complaint is filed in the 19 proper form with the Commission in accordance with the 20 regulations of the Commission; or
- (b) If the ethics complaint is initiated by the Commission on its
  own motion, on the date on which the Commission serves the
  [public officer or employee] current or former public officer or
  employee with a written notice of the investigation of the ethics
  complaint in accordance with the regulations of the Commission.
- **Sec. 27.** NRS 281A.300 is hereby amended to read as follows: 281A.300 1. The Chair [and Vice Chair] or a member of the Commission appointed by the Chair to preside over any meetings, *hearings and proceedings* may administer oaths [.] or direct a *certified court reporter or other authorized person to administer oaths.*
- 7 2. The Commission, upon majority vote, may issue a subpoena
  8 to compel the attendance of a witness and the production of any
  9 books and papers for any hearing before the Commission.

[Upon] Except as otherwise provided in this subsection, 10 3. upon the request of the Executive Director, the Chair [or, in the 11 12 Chair's absence, the Vice Chair, may issue a subpoena *during the* 13 course of any investigation to compel the participation of a 14 potential witness and the production of any books and papers 15 [during the course of any investigation.], including, without limitation, information, records and documentation regarding 16 personnel records maintained by an agency concerning the 17 18 a public officer or employee, including. conduct of notwithstanding any other provision of law to the contrary, 19 20 records otherwise deemed by law to be confidential, that relate to 21 issues under consideration in an ethics complaint. A request by 22 the Executive Director for a subpoena pursuant to this subsection 23 may not include a request for records related to a concurrent,

## pending criminal investigation where such records are otherwise protected as confidential.

3 Upon the request of the Executive Director or the public 4. officer or employee who is the subject of an ethics complaint, the 4 5 Chair for, in the Chair's absence, the Vice Chair, may issue a 6 subpoena to compel the attendance of a witness and the production 7 of any books and papers for any hearing before the Commission. A 8 public officer or employee who requests the issuance of a subpoena 9 pursuant to this subsection must serve the subpoena in the manner 10 provided in the Nevada Rules of Civil Procedure for service of subpoenas in a civil action and must pay the costs of such service. 11

5. Before **[issuing]** *the Chair issues* a subpoena *directed* to **[a]** *the* public officer or employee who is the subject of an ethics complaint to compel his or her participation in any investigation, his or her attendance as a witness or his or her production of any books and papers, the Executive Director shall submit a written request to the public officer or employee requesting:

(a) The voluntary participation of the public officer or employeein the investigation;

20 (b) The voluntary attendance of the public officer or employee 21 as a witness; or

(c) The voluntary production by the public officer or employeeof any books and papers relating to the ethics complaint.

6. Each written request submitted by the Executive Director pursuant to subsection 5 must specify the time and place for the voluntary participation of the public officer or employee in the investigation, attendance of the public officer or employee as a witness or production of any books and papers, and designate with certainty the books and papers requested, if any.

7. If the public officer or employee fails or refuses to respond
 to the Executive Director's written request pursuant to subsection 5

to voluntarily participate or attend at the time and place specified or 32 33 produce the books and papers requested by the Executive Director 34 within 5 business days after receipt of the written request, the Chair 35 for, in the Chair's absence, the Vice Chair, may issue the subpoena. 36 Failure of the public officer or employee to comply with the written 37 request of the Executive Director shall be deemed a waiver by the 38 public officer or employee of the time limits set forth in NRS 39 281A.700 to 281A.790, inclusive, and section 12 of this act, that 40 apply to proceedings concerning the ethics complaint.

41 8. If any witness fails or refuses to participate, attend, testify or 42 produce any books and papers as required by the subpoena, the 43 Chair [or, in the Chair's absence, the Vice Chair,] may report to the 44 district court by petition, setting forth that:

1 (a) Due notice has been given of the time and place of the 2 participation or attendance of the witness or the production of the 3 books and papers;

4 (b) The witness has been subpoenaed pursuant to this section; 5 and

6 (c) The witness has failed or refused to participate, attend, 7 testify or produce the books and papers as required by the subpoena, 8 or has failed or refused to answer questions propounded to the 9 witness,

10  $\rightarrow$  and asking for an order of the court compelling the witness to 11 participate, attend, testify or produce the books and papers as 12 required by the subpoena.

9. Upon such a petition, the court shall enter an order directing the witness to appear before the court at a time and place to be fixed by the court in its order, the time to be not more than 10 days after the date of the order, and then and there show cause why the witness has not participated, attended, testified or produced the books or papers as required by the subpoena. A certified copy of the order must be served upon the witness.

20 If <u>[it appears to]</u>, at the hearing to show cause, the court 10. *finds* that the subpoena was regularly issued pursuant to this section 21 [,] and that the witness has not proven a reason recognized by law 22 for the failure to comply with its provisions, the court shall enter an 23 24 order that the witness comply with the subpoena, at the time and place fixed in the order, and participate, attend, testify or produce 25 the required books and papers. Upon failure to obey the order, the 26 27 witness must be dealt with as for contempt of court.

11. Any court proceeding commenced pursuant to this section
is deemed good cause for the Commission to grant an extension of
the time limits set forth in NRS 281A.700 to 281A.790, inclusive,
and section 12 of this act, that apply to proceedings concerning the
ethics complaint.

Sec. 29. NRS 281A.400 is hereby amended to read as follows:
 281A.400 [A code of ethical standards is hereby established to
 govern the conduct of public officers and employees:]

1. A public officer or employee shall not seek or accept any gift, service, favor, employment, engagement, emolument or economic opportunity, for the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity, which would tend improperly to influence a

reasonable person in the public officer's or employee's position to
 depart from the faithful and impartial discharge of the public
 officer's or employee's public duties.

2. A public officer or employee shall not use the public 4 5 officer's or employee's position in government to secure or grant unwarranted privileges, preferences, exemptions or advantages for 6 the public officer or employee, any business entity in which the 7 8 public officer or employee has a significant pecuniary interest or 9 any person to whom the public officer or employee has a commitment in a private capacity. As used in this subsection, 10 "unwarranted" means without justification or adequate reason. 11

3. A public officer or employee shall not participate as an agent of government in the negotiation or execution of a contract between the government and the public officer or employee, any business entity in which the public officer or employee has a significant pecuniary interest or any person to whom the public officer or employee has a commitment in a private capacity.

4. A public officer or employee shall not accept any salary, retainer, augmentation, expense allowance or other compensation from any private source, for the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity, for the performance of the public officer's or employee's duties as a public officer or employee.

5. If a public officer or employee acquires, through the public officer's or employee's public duties or relationships, any information which by law or practice is not at the time available to people generally, the public officer or employee shall not use the information to further a significant pecuniary interest of the public officer or employee or any other person or business entity.

6. A public officer or employee shall not suppress any
governmental report or other official document because it might
tend to affect unfavorably a significant pecuniary interest of the
public officer or employee or any person to whom the public officer
or employee has a commitment in a private capacity.

7. Except for State Legislators who are subject to the restrictions set forth in subsection 8, a public officer or employee shall not use governmental time, property, equipment or other - 14 -

facility to benefit a significant personal or pecuniary interest of the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity. This subsection does not prohibit:

42 (a) A limited use of governmental property, equipment or other43 facility for personal purposes if:

44

(1) [The] At the time that the use occurs, the use is:

1 (I) Authorized by a written policy which was adopted 2 before the use occurs by the public officer or employee who is 3 responsible for and has authority to authorize the use of such 4 property, equipment or other facility [has established a policy 5 allowing the use or the use is necessary]; or

6 (II) Necessary as a result of emergency circumstances [;] 7 , whether or not the use is authorized by such a written policy;

8 (2) The use does not interfere with the performance of the 9 public officer's or employee's public duties;

10 11 (3) The cost or value related to the use is nominal; and

(4) The use does not create the appearance of impropriety;

12 (b) The use of mailing lists, computer data or other information 13 lawfully obtained from a governmental agency which is available to 14 members of the general public for nongovernmental purposes; or

15 (c) The use of telephones or other means of communication if 16 there is not a special charge for that use.

17  $\rightarrow$  If a governmental agency incurs a cost as a result of a use that is authorized pursuant to this subsection or would ordinarily charge a 19 member of the general public for the use, the public officer or 20 employee shall promptly reimburse the cost or pay the charge to the 21 governmental agency.

22

8. A State Legislator shall not:

(a) Use governmental time, property, equipment or other facility
[for a nongovernmental purpose or for the private] to benefit a
significant personal or pecuniary interest of the State Legislator or
any [other] person [.] to whom the State Legislator has a
commitment in a private capacity. This paragraph does not prohibit:

(1) A limited use of [state] governmental property [and
 resources], equipment or other facility for personal purposes if:

30 (I) The use does not interfere with the performance of the
 31 State Legislator's public duties;

32

(II) The cost or value related to the use is nominal; and

33 (III) The use does not create the appearance of 34 impropriety;

(2) The use of mailing lists, computer data or other
information lawfully obtained from a governmental agency which is
available to members of the general public for nongovernmental
purposes; or

39 (3) The use of telephones or other means of communication40 if there is not a special charge for that use.

41 (b) Require or authorize a legislative employee, while on duty, 42 to perform personal services or assist in a private activity, except:

43 (1) In unusual and infrequent situations where the *legislative* 44 employee's service is reasonably necessary to permit the State

Legislator or legislative employee to perform that person's official
 duties; or

3 (2) Where such service has otherwise been established as 4 legislative policy.

5 9. A public officer or employee shall not attempt to benefit a 6 significant personal or pecuniary interest of the public officer or 7 employee or any person to whom the public officer or employee has 8 a commitment in a private capacity through the influence of a 9 subordinate.

10 10. A public officer or employee shall not seek other 11 employment or contracts for the public officer or employee or any 12 person to whom the public officer or employee has a commitment in 13 a private capacity through the use of the public officer's or 14 employee's official position.

15 11. As used in this section, "appearance of impropriety" 16 means a reasonable person would find, based on the given set of 17 facts and circumstances, that a public officer's or employee's 18 limited use of governmental property, equipment or other facility 19 for personal purposes is inappropriate, disproportionate, excessive 20 or unreasonable under that given set of facts and circumstances.

21

**Sec. 30.** NRS 281A.410 is hereby amended to read as follows:

22 281A.410 [In addition to the requirements of the code of 23 ethical standards and the other provisions of this chapter:]

1. If a public officer or employee serves in a state agency of the Executive Department or an agency of any county, city or other political subdivision, the public officer or employee:

(a) Shall not accept compensation from any private person to
represent or counsel the private person on any issue pending before
the agency in which that public officer or employee serves, if the
agency makes decisions; and

31 (b) If the public officer or employee leaves the service of the agency, shall not, for 1 year after leaving the service of the agency, 32 represent or counsel for compensation a private person upon any 33 34 issue which was under consideration by the agency during the public officer's or employee's service. As used in this paragraph, 35 36 "issue" includes a case, proceeding, application, contract or 37 determination, but does not include the proposal or consideration of 38 legislative measures or administrative regulations.

39 2. Except as otherwise provided in subsection 3, a State

40 Legislator or a member of a local legislative body, or a public 41 officer or employee whose public service requires less than half of 42 his or her time, may represent or counsel a private person before an 43 agency in which he or she does not serve.

44 3. A member of a local legislative body shall not represent or 45 counsel a private person for compensation before another local

agency if the territorial jurisdiction of the other local agency
 includes any part of the county in which the member serves. The
 Commission may relieve the member from the strict application of
 the provisions of this subsection if:

5 (a) The member files a request for an advisory opinion from the 6 Commission pursuant to NRS 281A.675; and

7 (b) The Commission determines that such relief is not contrary 8 to:

9

(1) The best interests of the public;

10 (2) The continued ethical integrity of each local agency 11 affected by the matter; and

12

(3) The provisions of this chapter.

4. For the purposes of subsection 3, the request for an advisory opinion, *the decision rendered*, the advisory opinion and all meetings, hearings and proceedings of the Commission in such a matter are governed by the provisions of NRS 281A.670 to 281A.690, inclusive.

18 5. Unless permitted by this section, a public officer or 19 employee shall not represent or counsel a private person for 20 compensation before any state agency of the Executive or 21 Legislative Department.

22 Sec. 31. NRS 281A.420 is hereby amended to read as follows:

23 281A.420 1. Except as otherwise provided in this section, a
24 public officer or employee shall not approve, disapprove, vote,
25 abstain from voting or otherwise act upon a matter:

26 (a) Regarding which the public officer or employee has accepted27 a gift or loan;

(b) In which the public officer or employee has a significant
 pecuniary interest;

(c) Which would reasonably be affected by the public officer's
 or employee's commitment in a private capacity to the interests of
 another person; or

(d) Which would reasonably be related to the nature of any
representation or counseling that the public officer or employee
provided to a private person for compensation before another
agency within the immediately preceding year, provided such
representation or counseling is permitted by NRS 281A.410,

 $\Rightarrow$  without disclosing information concerning the gift or loan, the significant pecuniary interest, the commitment in a private capacity

to the interests of the other person or the nature of the representation or counseling of the private person that is sufficient to inform the public of the potential effect of the action or abstention upon the person who provided the gift or loan, upon the public officer's or employee's significant pecuniary interest, upon the person to whom the public officer or employee has a commitment in a private

1 capacity or upon the private person who was represented or 2 counseled by the public officer or employee. Such a disclosure must 3 be made at the time the matter is considered. If the public officer or 4 employee is a member of a body which makes decisions, the public 5 officer or employee shall make the disclosure in public to the chair 6 and other members of the body. If the public officer or employee is 7 not a member of such a body and holds an appointive office, the 8 public officer or employee shall make the disclosure to the 9 supervisory head of the public officer's or employee's organization or, if the public officer holds an elective office, to the general public 10 11 in the area from which the public officer is elected.

2. The provisions of subsection 1 do not require [a]:

12 13

(a) A public officer to disclose:

14 [(a)] (1) Any campaign contributions that the public officer 15 reported in a timely manner pursuant to NRS 294A.120 or 16 294A.125; or

17 [(b)] (2) Any contributions to a legal defense fund that 18 the public officer reported in a timely manner pursuant to 19 NRS 294A.286.

20 (b) A public officer or employee to disclose any information 21 which is confidential as a result of a bona fide relationship that 22 protects the confidentiality of the information under the terms of a 23 contract or as a matter of law, including, without limitation, the 24 attorney-client relationship, if the public officer or employee:

(1) In the disclosure made pursuant to subsection 1,
 discloses all nonconfidential information that is required to be
 disclosed and describes the general nature of the relationship that
 protects the confidential information from being disclosed; and

(2) Abstains from advocating the passage or failure of and
 from approving, disapproving, voting or otherwise acting upon the
 matter, regardless of whether the public officer or employee would
 be required to abstain pursuant to subsection 3.

33 3. Except as otherwise provided in this section, in addition to 34 the requirements of subsection 1, a public officer shall not vote upon 35 or advocate the passage or failure of, but may otherwise participate 36 in the consideration of, a matter with respect to which the 37 independence of judgment of a reasonable person in the public 38 officer's situation would be materially affected by:

39 (a) The public officer's acceptance of a gift or loan;

40 (b) The public officer's significant pecuniary interest; [or]

41 (c) The public officer's commitment in a private capacity to the
 42 interests of another person [.]; or

43 (d) The public officer's representation or counseling of a 44 private person for compensation before another agency within the

- 1 *immediately preceding year, provided such representation or* 2 *counseling is permitted by NRS 281A.410.*
- 3

4. In interpreting and applying the provisions of subsection 3:

4 (a) It must be presumed that the independence of judgment of a 5 reasonable person in the public officer's situation would not be materially affected by the public officer's acceptance of a gift or 6 loan, significant pecuniary interest, for-commitment in a private 7 8 capacity to the interests of another person or representation or counseling of a private person for compensation as permitted by 9 **NRS 281A.410** where the resulting benefit or detriment accruing to 10 the public officer, or if the public officer has a commitment in a 11 12 private capacity to the interests of another person [,] or has 13 represented or counseled a private person for compensation as 14 permitted by NRS 281A.410, accruing to the other person, is not greater than that accruing to any other member of any general 15 business, profession, occupation or group that is affected by the 16 matter. The presumption set forth in this paragraph does not affect 17 18 the applicability of the requirements set forth in subsection 1 19 relating to the duty of the public officer to make a proper disclosure 20 at the time the matter is considered and in the manner required by 21 subsection 1.

22 (b) The Commission must give appropriate weight and proper 23 deference to the public policy of this State which favors the right of a public officer to perform the duties for which the public officer 24 25 was elected or appointed and to vote or otherwise act upon a matter, 26 provided the public officer makes a proper disclosure at the time the matter is considered and in the manner required by subsection 1. 27 28 Because abstention by a public officer disrupts the normal course of 29 representative government and deprives the public and the public 30 officer's constituents of a voice in governmental affairs, the 31 provisions of this section are intended to require abstention only in 32 clear cases where the independence of judgment of a reasonable person in the public officer's situation would be materially affected 33 by the public officer's acceptance of a gift or loan, significant 34 pecuniary interest, or commitment in a private capacity to the 35 36 interests of another person **...** or representation or counseling of a 37 private person for compensation as permitted by NRS 281A.410.

5. Except as otherwise provided in NRS 241.0355, if a public officer declares to the body or committee in which the vote is to be taken that the public officer will abstain from voting because of the requirements of this section, the necessary quorum to act upon and the number of votes necessary to act upon the matter, as fixed by
any statute, ordinance or rule, is reduced as though the member
abstaining were not a member of the body or committee.

1 6. The provisions of this section do not, under any 2 circumstances:

3 (a) Prohibit a member of a local legislative body from 4 requesting or introducing a legislative measure; or

5 (b) Require a member of a local legislative body to take any 6 particular action before or while requesting or introducing a 7 legislative measure.

8 7. The provisions of this section do not, under any circumstances, apply to State Legislators or allow the Commission 9 10 to exercise jurisdiction or authority over State Legislators. The responsibility of a State Legislator to make disclosures concerning 11 [gifts, loans, interests or commitments] a matter and the 12 responsibility of a State Legislator to abstain from voting upon or 13 advocating the passage or failure of a matter are governed by the 14 Standing Rules of the Legislative Department of the State 15 16 Government which are adopted, administered and enforced exclusively by the appropriate bodies of the Legislative Department 17 18 of *the* State Government pursuant to Section 6 of Article 4 of the 19 Nevada Constitution.

20 8. As used in this section, "public officer" and "public 21 employee" do not include a State Legislator.

1

Sec. 33. NRS 281A.550 is hereby amended to read as follows:

2 281A.550 1. A former member of the Public Utilities 3 Commission of Nevada shall not:

4 (a) Be employed by a public utility or parent organization or 5 subsidiary of a public utility; or

6 (b) Appear before the Public Utilities Commission of Nevada to 7 testify on behalf of a public utility or parent organization or 8 subsidiary of a public utility,

9 → for 1 year after the termination of the member's service on the
10 Public Utilities Commission of Nevada.

1 2. A former member of the Nevada Gaming Control Board or 2 the Nevada Gaming Commission shall not:

(a) Appear before the Nevada Gaming Control Board or the
Nevada Gaming Commission on behalf of a person who holds a
license issued pursuant to chapter 463 or 464 of NRS or who is
required to register with the Nevada Gaming Commission pursuant
to chapter 463 of NRS; or

8 (b) Be employed by such a person,

9 → for 1 year after the termination of the member's service on the 10 Nevada Gaming Control Board or the Nevada Gaming Commission.

In addition to the prohibitions set forth in subsections 1 and 11 3. 12 2, and except as otherwise provided in subsections 4 and 6, a 13 *current or* former public officer or *management-level public* employee of a board, commission, department, division or other 14 agency of the Executive Department of *the* State Government 15 except a clerical employee,] shall not solicit or accept employment 16 17 from a business or industry whose activities are governed by regulations adopted or administered by the board, commission, 18 department, division or other agency, as applicable, during the 19 public officer's or employee's period of public service or 20 21 *employment or* for 1 year after the termination of [the former public] 22 officer's or employee's his or her period of public service or 23 [period of] employment if:

(a) The [former] public officer's or employee's principal duties
 *include or* included the formulation of policy contained in the
 regulations governing the business or industry;

27 (b) [During] Within the immediately preceding year, [the 28 former] during the public officer's or employee's period of public service or employment or within the year immediately preceding 29 the termination of the public officer's or employee's period of 30 *public service or employment, the* public officer or employee 31 32 directly performed activities, or controlled or influenced an audit, decision, investigation or other action, which significantly affected 33 34 the business or industry; [which might, but for this section, employ 35 the former public officer or employee;] or

(c) As a result of the [former]-public officer's or employee's
 governmental service or employment, the [former]-public officer or
 employee possesses knowledge of the trade secrets of a direct
 business competitor.

40 4. The provisions of subsection 3 do not apply to a *current or* 41 former [public officer who was a] member of a board, commission 42 or similar body of the State if:

43 (a) The [former public officer] member is engaged in the
44 profession, occupation or business regulated by the board,
45 commission or similar body;

(b) The [former public officer] member holds a license issued
 by the board, commission or similar body; and

3 (c) Holding a license issued by the board, commission or similar 4 body is a requirement for membership on the board, commission or 5 similar body.

5. Except as otherwise provided in subsection 6, a *current or*former public officer or employee of the State or a political
subdivision, except a clerical employee, shall not solicit or accept
employment from a person to whom a contract for supplies,
materials, equipment or services was awarded by the State or

11 political subdivision, as applicable, or was implemented, managed

12 or administered by the State or political subdivision, as applicable,

during the public officer's or employee's period of public service
 or employment or for 1 year after the termination of [the officer's or
 employee's] his or her period of public service or [period of]

16 employment, if:

17

(a) The amount of the contract exceeded \$25,000;

(b) The contract was awarded or was implemented, managed or
administered by the State or political subdivision, as applicable,
within the immediately preceding year during the public officer's
or employee's period of public service or employment or within the
[12 month period]-year immediately preceding the termination of
the public officer's or employee's period of public service or
period of] employeet; and

(c) The position held by the [former]-public officer or employee
at the time the contract was awarded or while it was implemented,
managed or administered by the State or political subdivision, as
applicable, allowed the [former]-public officer or employee to
materially affect or influence the awarding of the contract [.]-or its
implementation, management or administration.

31 A current or former public officer or employee may file a 6. request for an advisory opinion pursuant to NRS 281A.675 32 concerning the application of the relevant facts in that person's case 33 34 to the provisions of subsection 3 or 5, as applicable, and *the* 35 *Commission may* determine whether relief from the strict 36 application of those provisions is proper. For the purposes of 37 submitting all necessary information for the Commission to render 38 a decision and issue an advisory opinion in the matter, a current or former public officer or employee may request information 39 concerning potential employment from any business, industry or 40 other person without violating the provisions of subsection 3 or 5, 41 42 as applicable. If the Commission determines that relief from the strict application of the provisions of subsection 3 or 5, as 43 applicable, is not contrary to: 44

45 (a) The best interests of the public;

1 (b) The continued ethical integrity of the State Government or 2 political subdivision, as applicable; and

(c) The provisions of this chapter,

4  $\rightarrow$  it may issue an advisory opinion to that effect and grant such 5 relief.

6 7. For the purposes of subsection 6, the request for an advisory 7 opinion, *the decision rendered*, the advisory opinion and all 8 meetings, hearings and proceedings of the Commission in such a 9 matter are governed by the provisions of NRS 281A.670 to 10 281A 690 inclusive

10 281A.690, inclusive.

3

11 8. The advisory opinion does not relieve the current or former 12 public officer or employee from the strict application of any 13 provision of NRS 281A.410.

14 9. [For] *Except as otherwise provided in subsection 6, for* the 15 purposes of this section:

16 (a) A former member of the Public Utilities Commission of
17 Nevada, the Nevada Gaming Control Board or the Nevada Gaming
18 Commission; or

(b) Any other *current or* former public officer or employeegoverned by this section,

21  $\rightarrow$  is employed by or is soliciting or accepting employment from a business, industry or other person described in this section if any 22 23 oral or written agreement is sought, negotiated or exists during the 24 restricted period pursuant to which the personal services of the public officer or employee are provided or will be provided to 25 26 the business, industry or other person, even if such an agreement 27 does not or will not become effective until after the restricted 28 period.

10. As used in this section, "regulation" has the meaning ascribed to it in NRS 233B.038 and also includes regulations adopted *or administered* by a board, commission, department, division or other agency of the Executive Department of *the* State Government that is exempted from the requirements of chapter 233B of NRS.

35 Sec. 34. NRS 281A.665 is hereby amended to read as follows:

281A.665 1. The Legislative Counsel shall prepare
 annotations to this chapter for inclusion in the Nevada Revised
 Statutes based on the published opinions of the Commission.

39 **2.** The [Commission's] opinions of the Commission may 40 include guidance to a public officer or employee on questions 41 whether:

42 [1.] (*a*) A conflict exists between the public officer's or 43 employee's personal interest and the public officer's or employee's 44 official [duty.] duties.

1 [2.] (b) The public officer's or employee's official duties 2 involve the use of discretionary judgment whose exercise in the 3 particular matter would have a significant effect upon the 4 disposition of the matter.

5 [3.] (c) The conflict would materially affect the independence 6 of the judgment of a reasonable person in the public officer's or 7 employee's situation.

8 [4.] (*d*) The public officer or employee possesses special 9 knowledge which is an indispensable asset of [the public officer's or 10 employee's public] his or her public body, agency or employer and 11 is needed by it to reach a sound decision. 12 [5.] (e) It would be appropriate for the public officer or 13 employee to withdraw or abstain from participation, disclose the 14 nature of the public officer's or employee's conflicting personal 15 interest or pursue some other designated course of action in the 16 matter.

Sec. 35. NRS 281A.675 is hereby amended to read as follows:
281A.675 1. [A] *Except as otherwise provided in this section and NRS 281A.280, a* public officer or employee may file
with the Commission a request for an advisory opinion to:

(a) Seek guidance on matters which directly relate to the
propriety of his or her own past, present or future conduct as a
public officer or employee under the statutory ethical standards ;
[set forth in this chapter;] or

25 (b) Request relief pursuant to NRS 281A.410, 281A.430 or 26 281A.550.

27

2. The request for an advisory opinion must be:

28 (a) Filed on a form prescribed by the Commission; and

(b) Submitted with all necessary information for the
Commission to render *a decision and issue* an advisory opinion in
the matter.

32 3. At any time after a request for an advisory opinion is filed 33 with the Commission, the Commission may request additional 34 information relating to the request for an advisory opinion from 35 the requester and his or her legal counsel.

4. The Commission may decline to render *a decision and issue*an advisory opinion if the [public officer or employee] requester
does not:

(a) Submit all necessary information for the Commission to
 render *a decision and issue* an advisory opinion in the matter; or

41 (b) Declare by oath or affirmation that he or she will testify 42 truthfully regarding the matter [.] or confirm in writing, signed 43 under oath, that any facts provided to the Commission for 44 consideration of the request for an advisory opinion is truthful.

Sec. 38. NRS 281A.690 is hereby amended to read as follows:
 281A.690 1. [Except as otherwise provided in this section,
 the] The provisions of chapter 241 of NRS do not apply to:
 (a) Any meeting or hearing held by the Commission to receive

4 (a) Any meeting or hearing held by the Commission to receive 5 information or evidence concerning a request for an advisory 6 opinion; and

1 (b) Any deliberations or actions of the Commission on such 2 information or evidence.

2. The [public officer or employee] requester who files the 4 request for an advisory opinion may also file a request with 5 the Commission to hold a public meeting or hearing regarding the

request for an advisory opinion. which request must confirm that 6 requester is waiving the rights to confidentiality established in NRS 281A.685. If the Commission grants therequest to hold a public meeting or hearing, the Commission shallprovide public notice of the meeting or hearing, and the meetingor hearing must be open to the public and conducted inaccordance with the regulations of the Commission, but themeeting or hearing is not subject to the provisions of chapter 241 of NRS. 7 8 **Sec. 39.** NRS 281A.700 is hereby amended to read as follows: 9 281A.700 The provisions of NRS 281A.700 to 281A.790, 10 inclusive, and section 12 of this act apply to proceedings 11 concerning an ethics complaint. **Sec.** 40. NRS 281A.710 is hereby amended to read as follows: 12 13 <u>-281A.710 1. Except as otherwise provided in this section and</u> NRS 281A.280, the Commission may render a decision and issue 14 an opinion that interprets the statutory ethical standards and applies 15 16 -those standards to a given set of facts and circumstances regarding 17 the propriety of the conduct of a public officer or employee if an 18 -ethics complaint is: (a) Filed by a specialized or local ethics committee established 19 20 pursuant to NRS 281A.350. (b) Filed by any person, except a person who is incarcerated in a 21 22 - correctional facility in this State or any other jurisdiction. 23 (c) Initiated by the Commission on its own motion, except the 24 Commission shall not initiate such an ethics complaint based solely 25 upon an anonymous complaint. 26 -2. An ethics complaint filed by a specialized or local ethics 27 committee or person pursuant to paragraph (a) or (b) of subsection 28 1 must be: (a) Verified under oath and filed on a form prescribed by the 29 -Commission; and 30 31 (b) Submitted with sufficient evidence to support the allegations 32 in order for the Commission to make a determination of whether it 33 has jurisdiction in the matter and whether an investigation is 34 warranted in the matter pursuant to NRS 281A.715 and 281A.720. <u>-3. The Commission may decline to render *a decision or issue*</u> 35 36 an opinion if the specialized or local ethics committee or person 37 [who files] *filing* the ethics complaint *pursuant to paragraph (a) or* 38 (b) of subsection 1 does not submit all necessary evidence in the 30 matter

NRS 281A.715 is hereby amended to read as follows: 1 Sec. 41.  $\overline{2}$ 281A.715 1. Based on the evidence submitted with an ethics 3 complaint filed with the Commission by a specialized or local ethics committee or person pursuant to paragraph (a) or (b) of 4 subsection 1 of NRS 281A.710 [] and any additional evidence 5 6 obtained by the Executive Director pursuant to subsection 2, the 7 Commission shall determine whether it has jurisdiction in the matter 8 and whether an investigation is warranted in the matter. The 9 Commission shall make its determination within 45 days after 10 receiving the ethics complaint, unless the **[public officer or** employee who is the subject of the ethics complaint waives this time 11 limit. Commission determines that there is good cause to extend 12 13 this time limit and sets a specific and reasonable time period for 14 such an extension.

15 2. To assist the Commission in making its determination 16 pursuant to subsection 1 whether it has jurisdiction in the matter 17 and whether an investigation is warranted in the matter, the 18 Executive Director may conduct a preliminary investigation to 19 obtain additional evidence concerning the allegations in the ethics 20 complaint.

- 3. If the Commission determines *pursuant to subsection 1* that
  it does not have jurisdiction in the matter, the Commission shall
  dismiss the matter.
- [3.] 4. If the Commission determines *pursuant to subsection 1*that it has jurisdiction in the matter but the evidence [submitted with
  the ethics complaint] is not sufficient to warrant an investigation in
  the matter, the Commission shall dismiss the matter, with or without
  issuing a letter of caution or instruction to the public officer or
  employee pursuant to NRS 281A.780.

30 [4.] 5. If the Commission determines *pursuant to subsection 1* 31 that it has jurisdiction in the matter and the evidence [submitted with 32 the ethics complaint] is sufficient to warrant an investigation in the 33 matter, the Commission may direct the Executive Director to 34 investigate the ethics complaint pursuant to NRS 281A.720.

6. If the Commission initiates an ethics complaint on its own
motion pursuant to paragraph (c) of subsection 1 of NRS
281A.710 and the Commission determines that the evidence:

(a) Is not sufficient to warrant an investigation in the matter,
the Commission may dismiss the matter, with or without prejudice.
If the Commission dismisses the matter, it shall issue a letter of
caution or instruction to the public officer or employee pursuant
to NRS 281A.780.

43 (b) Is sufficient to warrant an investigation in the matter, the 44 Commission may direct the Executive Director to investigate the 45 ethics complaint pursuant to NRS 281A.720.

NRS 281A.720 is hereby amended to read as follows: 1 Sec. 42.  $\overline{2}$ 281A.720 If the Commission directs 1. the Executive Director to investigate an ethics complaint pursuant to NRS 3 281A.715, For if the Commission initiates an ethics complaint on its 4 own motion pursuant to NRS 281A.710,] the Executive Director 5 6 shall investigate the facts and circumstances relating to the ethics 7 complaint to determine whether the Executive Director believes that 8 there is just and sufficient cause for the Commission to render *a* 9 *decision and issue* an opinion in the matter in order to present a 10 recommendation to the review panel pursuant written to NRS 281A.725. 11

12 2. The Executive Director shall [provide] prepare and serve a 13 *written* notice of the investigation of the ethics complaint pursuant to this section **[to]** on the public officer or employee who is the 14 15 subject of the ethics complaint and provide the public officer or 16 employee an opportunity to submit to the Executive Director a 17 response to the fallegations against the public officer or employee in 18 the ethics complaint.] written notice of the investigation. The 19 response must be submitted within 30 days after the date on which 20 the public officer or employee [receives] is served with the written 21 notice of the investigation pursuant to this section, unless the *public* 22 officer or employee waives the time limit set forth in subsection 1 of NRS 281A.725 and the Executive Director grants one or more 23 24 extensions for good cause shown and sets a specific and 25 *reasonable time period for such* an extension.

3. The purpose of the response submitted pursuant to this section is to provide the Executive Director and the review panel with any information relevant to the ethics complaint which the public officer or employee believes may assist:

30 (a) The Executive Director in performing his or her investigation 31 and other functions pursuant to this section and NRS 281A.725; and

32 (b) The review panel in performing its review and other 33 functions pursuant to NRS 281A.730.

4. The public officer or employee is not required in the response submitted pursuant to this section or in any proceedings before the review panel to assert, claim or raise any objection or defense, in law or fact, to the allegations against the public officer or employee, and no objection or defense, in law or fact, is waived, abandoned or barred by the failure to assert, claim or raise it in the response or in any proceedings before the review panel.

41 5. Whether or not the public officer or employee submits a 42 response pursuant to this section, the Executive Director may take 43 action, in the manner authorized by NRS 281A.300, to secure the 44 public officer's or employee's participation, attendance as a

witness and production of any books and papers during the course 1

of the investigation through records requests, subpoenas or other 2 legal methods.

**Sec. 43.** NRS 281A.725 is hereby amended to read as follows: 3 281A.725 1. Except as otherwise provided in this 4 subsection, the] The Executive Director shall complete the 5 6 investigation required by NRS 281A.720 and present a written recommendation to the review panel within 70 days after the 7 8 Commission directs the Executive Director to investigate the ethics 9 complaint for after the Commission initiates the ethics complaint on 10 its own motion, as applicable.], except that: (a) The public officer or employee who is the subject of the 11 12 ethics complaint may waive this time limit [.]; or 13 (b) Upon the request of the Executive Director, the presiding 14 officer of the review panel may grant one or more extensions of 15 this time limit for good cause shown. If the presiding officer grants such an extension, the presiding officer must set a specific 16 17 and reasonable time period for such an extension. 18 The *written* recommendation *that the Executive Director* 2.

19 presents to the review panel must:

20

(a) Set forth the factual and legal basis for the recommendation;

21 (b) State whether the Executive Director believes that there is 22 just and sufficient cause for the Commission to render *a decision* 23 and issue an opinion in the matter; and

(c) If the Executive Director believes that a disposition of the 24 25 matter without an adjudicatory hearing is appropriate under the facts 26 and circumstances, state any suggested disposition that is consistent 27 with the provisions of this chapter, including, without limitation, whether the Executive Director believes that the conduct at issue 28 29 may be appropriately addressed through additional training or other 30 corrective action under the terms and conditions of a deferral 31 agreement. 32

NRS 281A.730 is hereby amended to read as follows: Sec. 44.

33 281A.730 1. Except as otherwise provided in this section, the 34 review panel shall determine whether there is just and sufficient 35 cause for the Commission to render *a decision and issue* an opinion in the matter within [15] 30 days after the Executive Director 36 37 **[provides]** presents to the review panel **[with]** the recommendation 38 required by NRS 281A.725. The public officer or employee who is 39 the subject of the ethics complaint may waive this time limit. The review panel shall serve on the public officer or employee who is 40 41 the subject of the ethics complaint a written notice of its 42 determination.

43 2. The review panel shall cause a record of its proceedings to 44 be kept.

3. The review panel shall not determine that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter unless the Executive Director has provided the public officer or employee an opportunity to respond [to the allegations] as required by NRS 281A.720.

4. If the review panel determines that there is not just and
sufficient cause for the Commission to render *a decision and issue*an opinion in the matter, it shall dismiss the matter, with or without
prejudice, and with or without issuing a letter of caution or
instruction to the public officer or employee pursuant to
NRS 281A.780.

5. If the review panel determines that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter but reasonably believes that the conduct at issue may be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement, the review panel may:

(a) Approve a deferral agreement proposed by the Executive
Director and the public officer or employee instead of referring the
ethics complaint to the Commission for further proceedings in the
matter; or

(b) Authorize the Executive Director and the public officer or
employee to develop such a deferral agreement and may thereafter
approve such a deferral agreement instead of referring the ethics
complaint to the Commission for further proceedings in the matter.

6. If the review panel does not approve a deferral agreement pursuant to subsection 5 or if the public officer or employee declines to enter into such a deferral agreement, the review panel shall refer the ethics complaint to the Commission for further proceedings in the matter.

7. If the review panel determines that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter and reasonably believes that the conduct at issue may not be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement, the review panel shall refer the ethics complaint to the Commission for further proceedings in the matter.

**Sec. 45.** NRS 281A.745 is hereby amended to read as follows:

281A.745 1. If the review panel refers an ethics complaint to
the Commission for further proceedings in the matter pursuant to
NRS 281A.730 or if the Commission vacates a deferral agreement
and conducts further proceedings in the matter pursuant to NRS
281A.740 [, the]:

38

44 (a) The Executive Director shall issue a formal notice of 45 charges to the public officer or employee who is the subject of the

ethics complaint regarding the allegations to be presented at an 1 2 adjudicatory hearing; and

3 (b) The Commission shall hold an adjudicatory hearing and render [an opinion in the matter] a decision concerning the ethics 4 *complaint* within 60 days after the date on which the review panel 5 6 refers the ethics complaint to the Commission or the Commission 7 vacates the deferral agreement, as appropriate, unless the public 8 officer or employee who is the subject of the ethics complaint waives this time limit [.] or the Commission determines that there 9 is good cause to extend this time limit and sets a specific and 10 11 reasonable time period for such an extension.

12 2. **If Before** the Commission holds an adjudicatory hearing 13 to receive evidence concerning an ethics complaint, the 14 Commission shall:

15 (a) [Notify] *Provide* the public officer or employee who is the 16 subject of the ethics complaint *with a written notice* of the date, 17 time and place of the hearing; and

18 (b) Provide the parties with a written schedule for discovery 19 relating to the hearing.

20

3. At the adjudicatory hearing:

(a) The Executive Director or his or her designee shall present 21 22 the case to the Commission: and 23

(b) The Commission shall:

24 (1) Allow the public officer or employee to be represented by 25 legal counsel; and

26 (c) (2) Allow the public officer or employee to hear the 27 **[evidence]** case presented to the Commission by the Executive 28 **Director or his or her designee** and to [respond and] present [evidence on] his or her own [behalf.] case to the Commission. 29

30 [3.] 4. Unless the public officer or employee agrees to a 31 shorter time, an adjudicatory hearing may not be held less than 10 32 days after the date on which the *written* notice of the hearing is 33 **[given]** provided to the public officer or employee.

34 [4.] 5. For good cause shown, the Commission may take 35 testimony from a person by telephone or video conference at an 36 adjudicatory hearing or at any other proceedings concerning the 37 ethics complaint.

38 After the Commission renders a decision concerning the 6. 39 ethics complaint, the Commission shall issue a written opinion on 40 or before the date of the next meeting of the Commission that is 41 held after the date on which the decision is rendered, unless the 42 Chair determines that there is good cause to extend this time limit 43 and sets a specific and reasonable time period for such an extension. 44

1 7. The written opinion issued by the Commission must 2 include findings of fact and conclusions of law and otherwise 3 comply with the requirements for a final decision set forth in 4 NRS 233B.125.

5 **Sec. 46.** NRS 281A.750 is hereby amended to read as follows: 6 281A.750 1. Except as otherwise provided in this section and 7 NRS 281A.755. all information, communications, records. 8 documents or other materials in the possession of the Commission, 9 the review panel or their staff that are related to an ethics complaint are confidential and are not public records pursuant to chapter 239 10 11 of NRS until:

(a) The review panel determines whether there is just and
sufficient cause for the Commission to render *a decision and issue*an opinion in the matter and serves *the* written notice of its
determination on the public officer or employee who is the subject
of the ethics complaint [;]-pursuant to NRS 281A.730; or

17 (b) The public officer or employee who is the subject of the 18 ethics complaint authorizes the Commission, in writing, to make the 19 information, communications, records, documents or other materials 20 that are related to the ethics complaint publicly available,

21  $\rightarrow$  whichever occurs first.

22 2. Except as otherwise provided in subsection [3,]-5, if a person 23 who files an ethics complaint asks that his or her identity as the 24 requester be kept confidential, the Commission:

25 (a) Shall keep the identity of the requester confidential if he or 26 she is a public officer or employee who works for the same public 27 body, agency or employer as the public officer or employee who is 28 the subject of the ethics complaint [], worked for the same public body, agency or employer during the time of the alleged conduct at 29 30 issue or if revealing the identity of the requester would reveal the 31 identity of witnesses who work for the same public body, agency or 32 employer.

(b) May keep the identity of the requester confidential if he or
she offers sufficient facts and circumstances showing a reasonable
likelihood that disclosure of his or her identity will subject the
requester or a member of his or her household to a bona fide threat
of physical force or violence.

38 3. If the Commission keeps the identity of the requester of an 39 ethics complaint confidential pursuant to this section, the 40 following materials are confidential and are not public records 41 pursuant to chapter 239 of NRS:

42 (a) All information, communications, records, documents or 43 other materials in the possession of the Commission that, if 44 disclosed by the Commission, would reveal that the requester 45 filed the ethics complaint. Notwithstanding the provisions of chapter 239 of NRS, in denying a request for public records based
 on the confidentiality provided by this paragraph, the Commission
 is not required to provide any information that, if disclosed by the
 Commission in denying the request for public records, would
 reveal that the requester filed the ethics complaint.

6 (b) All information, communications, records, documents or 7 other materials in the possession of the requester of the ethics complaint or his or her public body, agency or employer that, if 8 9 disclosed by either of them, would reveal that the requester filed the ethics complaint. Notwithstanding the provisions of chapter 10 239 of NRS, in denying a request for public records based on the 11 12 confidentiality provided by this paragraph, the requester of the 13 ethics complaint or his or her public body, agency or employer is not required to provide any information that, if disclosed by either 14 15 of them in denving the request for public records, would reveal 16 that the requester filed the ethics complaint.

17 If the Commission keeps the identity of the requester of an 4. 18 ethics complaint confidential [] pursuant to this section and the 19 Executive Director does not intend to present the testimony of 20 the requester as evidence for consideration by the Commission at 21 the adjudicatory hearing or in rendering a decision and issuing an 22 opinion in the matter, the Commission shall not render a decision 23 and issue an opinion in the matter unless there is sufficient evidence 24 without the testimony of the requester to consider the propriety of the conduct of the public officer or employee who is the subject of 25 the ethics complaint. The provisions of this subsection do not 26 27 abrogate or otherwise alter or affect the confidentiality of the 28 identity of the requester of the ethics complaint.

29 If the Commission keeps the identity of the requester of an 5. 30 ethics complaint confidential pursuant to this section and the 31 Executive Director intends to present the testimony of the requester 32 as evidence for consideration by the Commission at the adjudicatory hearing or in rendering *a decision and issuing* an opinion in the 33 matter and the public officer or employee who is the subject of 34 35 the ethics complaint submits a written discovery request to the Commission pursuant to NRS 281A.755, the [Commission] 36 37 *Executive Director* shall disclose the name of the requester only as a 38 proposed witness [within a reasonable time before the adjudicatory 39 hearing on the matter.] in accordance with the schedule for 40 discovery provided to the parties pursuant to NRS 281A.745.

41 Sec. 47. NRS 281A.755 is hereby amended to read as follows:
42 281A.755 1. Except as otherwise provided in this section, the
43 investigative file related to an ethics complaint is confidential and is
44 not a public record pursuant to chapter 239 of NRS.

2. [At any time after being served with written notice of the 1 2 determination of the review panel regarding the existence of just and 3 sufficient cause for the Commission to render an opinion in the matter,] In accordance with the schedule for discovery provided to 4 the parties pursuant to NRS 281A.745, the public officer or 5 6 employee who is the subject of the ethics complaint may submit a 7 written discovery request to the Commission for a list of proposed 8 witnesses and a copy of any portion of the investigative file that the 9 Executive Director intends to present as evidence for consideration by the Commission at the adjudicatory hearing or in rendering *a* 10 11 *decision and issuing* an opinion in the matter.

3. [Any] Unless otherwise declared confidential by law, any 12 13 portion of the investigative file which the Executive Director presents as evidence for consideration by the Commission at the 14 adjudicatory hearing or in rendering *a decision and issuing* an 15 16 opinion in the matter becomes a public record and must be open for inspection pursuant to chapter 239 of NRS [.]-after the Commission 17 18 takes final action concerning the ethics complaint in a public 19 meeting or hearing pursuant to subsection 2 of NRS 281A.760. 20 4. For the purposes of this section:

20 21 4. For the purposes of this section:

(a) The investigative file includes, without limitation:

(1) Any response concerning the ethics complaint prepared
by the public officer or employee pursuant to NRS 281A.720 and
submitted to the Executive Director and the review panel during the
course of the investigation and any proceedings before the review
panel;

(2) Any recommendation concerning the ethics complaint
prepared by the Executive Director pursuant to NRS 281A.725 and
[submitted] presented to the review panel during the course of the
investigation and any proceedings before the review panel; and

31 (3) Any other information provided to or obtained by or on 32 behalf of the Executive Director through any form of 33 communication during the course of the investigation, *including*, 34 without limitation, information, records and documentation 35 obtained pursuant to subsection 3 of NRS 281A.300, and any 36 proceedings before the review panel and any records, documents or other materials created or maintained during the course of the 37 investigation and any proceedings before the review panel which 38 39 relate to the public officer or employee who is the subject of the 40 ethics complaint, including, without limitation, a transcript, 41 regardless of whether such information, records, documents or other 42 materials are obtained pursuant to a subpoena.

43 (b) The investigative file does not include any deferral 44 agreement.

NRS 281A.760 is hereby amended to read as follows: Sec. 48.

2 281A.760 1. The provisions of chapter 241 of NRS do not 3 apply to:

1

4 (1.) (a) Any meeting or hearing held by the Commission to 5 receive information or evidence concerning an ethics complaint; and 6 (2.) (b) Any deliberations or actions of the Commission on 7 such information or evidence.

8 *2*. The Commission shall take final action concerning an 9 ethics complaint in a public meeting or hearing. The Commission shall provide public notice of the meeting or hearing, and the 10 11 meeting or hearing must be open to the public and conducted in accordance with the regulations of the Commission, but the 12 13 meeting or hearing is not subject to the provisions of chapter 241 14 of NRS. 15

Sec. 49. NRS 281A.765 is hereby amended to read as follows:

16 281A.765 [1. If the Commission renders an opinion in 17 proceedings concerning an ethics complaint, the opinion must include findings of fact and conclusions of law. 18

19 <u>2. If, in] In proceedings concerning an ethics complaint, if the</u> 20 Commission determines that a violation of this chapter:

21 (a) I. Has not been proven, the Commission shall dismiss the 22 matter, with or without prejudice, and with or without issuing a 23 letter of caution or instruction to the public officer or employee 24 pursuant to NRS 281A.780.

25 [(b)] 2. Has been proven, the Commission may take any action 26 authorized by this chapter.

27 **Sec. 50.** NRS 281A.770 is hereby amended to read as follows:

28 281A.770 In any matter in which the Commission disposes of 29 an ethics complaint by stipulation, agreed settlement, deferral agreement, or consent

order or in which the review panel approves a deferral agreement, 30

31 the Commission or the review panel, as appropriate, shall :

32 To the extent practicable based on the given set of facts 1. and circumstances, treat comparable situations in a comparable 33 34 manner; and [shall ensure]

35 2. **Ensure** that the disposition of the matter bears a reasonable relationship to the severity of the violation or alleged violation. 36 37

Sec. 51. NRS 281A.775 is hereby amended to read as follows:

38 281A.775 1. The Commission, in determining whether a 39 violation of this chapter is a willful violation and, if so, the penalty 40 to be imposed on a **[public officer or employee]** current or former 41 public officer or employee pursuant to NRS 281A.785 or 281A.790, 42 or the review panel, in determining whether to approve a deferral 43 agreement regarding an alleged violation, shall consider, without 44 limitation:

1 (a) The seriousness of the violation or alleged violation, 2 including, without limitation, the nature, circumstances, extent and 3 gravity of the violation or alleged violation;

4 (b) The number and history of previous warnings, letters of 5 caution or instruction, deferral agreements or violations or alleged 6 violations of the provisions of this chapter relating to the public 7 officer or employee;

8 (c) The cost to conduct the investigation and any meetings, 9 hearings or other proceedings relating to the violation or alleged 10 violation;

11 (d) Any mitigating factors, including, without limitation, any 12 self-reporting, prompt correction of the violation or alleged 13 violation, any attempts to rectify the violation or alleged violation 14 before any ethics complaint is filed and any cooperation by the 15 public officer or employee in resolving the ethics complaint;

16 (e) Any restitution or reimbursement paid to parties affected by 17 the violation or alleged violation;

(f) The extent of any financial gain resulting from the violationor alleged violation; and

20

(g) Any other matter justice may require.

21 2. The factors set forth in this section are not exclusive or 22 exhaustive, and the Commission or the review panel, as appropriate, 23 may consider other factors in the disposition of the matter if they 24 bear a reasonable relationship to the determination of the severity of 25 the violation or alleged violation.

3. In applying the factors set forth in this section, the Commission or the review panel, as appropriate, shall :

(a) To the extent practicable based on the given set of facts and
 *circumstances*, treat comparable situations in a comparable manner
 and [shall ensure]

(b) *Ensure* that the disposition of the matter bears a reasonable
 relationship to the severity of the violation or alleged violation.

Sec. 52. NRS 281A.780 is hereby amended to read as follows:
 281A.780 1. In proceedings concerning an ethics complaint,
 the Commission or the review panel, as appropriate, may issue a
 letter of caution or instruction to the public officer or employee who

is the subject of the ethics complaint to caution or instruct the public officer or employee regarding the propriety of his or her conduct under the statutory ethical standards. [set forth in this chapter.]

2. If the Commission or the review panel issues a letter of
 caution or instruction to the public officer or employee, the letter:

42 (a) Is confidential and is not a public record pursuant to chapter 43 239 of NRS.

44 (b) May be considered in deciding the appropriate action to be 45 taken on any subsequent ethics complaint involving the public officer or employee, unless the letter is not relevant to the issues
 presented by the subsequent ethics complaint.

3 Sec. 53. NRS 281A.785 is hereby amended to read as follows: 1. [Except as otherwise provided in this section, 4 281A.785 5 in In proceedings concerning an ethics complaint, the Commission, 6 based on a finding that a violation of this chapter has been proven, or the review panel, as part of the terms and conditions of a deferral 7 8 agreement, may, in addition to any other [penalty] penalties 9 provided by law and in accordance with the provisions of 10 NRS 281A.775:

11 (a) Require the public officer or employee who is the subject of 12 the ethics complaint to:

13 (1) Comply in all respects with the provisions of this chapter 14 for a specified period without being the subject of another ethics 15 complaint arising from an alleged violation of this chapter by the 16 public officer or employee which occurs during the specified period 17 and for which the review panel determines that there is just and 18 sufficient cause for the Commission to render *a decision and issue* 19 an opinion in the matter.

- 20
- (2) Attend and complete training.
- 21 22 23
- (3) Follow a remedial course of action.(4) Issue a public apology.
- (5) Comply with conditions or limitations on future conduct.

(b) Publicly admonish, reprimand or censure the public officeror employee.

(c) Take any combination of such actions or any other
reasonable action that the Commission or the review panel, as
appropriate, determines will remedy the violation or alleged
violation or deter similar violations or conduct.

30 2. In carrying out the provisions of subsection 1, the 31 Commission, based on a finding that a violation of this chapter has

- 32 been proven may issue an opinion or resolve the case by any method available in this chapter, including, without limitation, approval of a stipulation, consent order, agreed settlement, or deferral agreement, or the review panel, as part of the terms and conditions
- 33 of a deferral agreement, may publicly:

(a) Admonish a public officer or employee if it is determined
that the public officer or employee has violated any provision of this
chapter, but the violation is not willful, or if such an admonishment
is imposed as part of the terms and conditions of a deferral
agreement. An admonishment is a written expression of disapproval
of the conduct of the public officer or employee.

40 (b) Reprimand a public officer or employee if it is determined 41 that the public officer or employee has willfully violated any 42 provision of this chapter, but there is no evidence that the willful violation involved bad faith, malicious intent or knowing or reckless
disregard of the law, or if such a reprimand is imposed as part of the
terms and conditions of a deferral agreement. A reprimand is a
severe written reproof for the conduct of the public officer or
employee.

(c) Censure a public officer or employee if it is determined that 3 the public officer or employee has willfully violated any provision 4 5 of this chapter and there is evidence that the willful violation 6 involved bad faith, malicious intent or knowing or reckless 7 disregard of the law or there are no substantial mitigating factors 8 pursuant to NRS 281A.775 for the willful violation, or if such a 9 censure is imposed as part of the terms and conditions of a deferral agreement. A censure is a formal written condemnation of the 10 conduct of the public officer or employee. 11

12 3. Any action taken by the Commission pursuant to this section 13 is a final decision for the purposes of judicial review pursuant to 14 NRS 233B.130. Any action taken by the review panel pursuant to 15 this chapter, including, without limitation, any action relating to a 16 deferral agreement, is not a final decision for the purposes of 17 judicial review pursuant to NRS 233B.130.

24

**Sec. 55.** NRS 239.010 is hereby amended to read as follows:

Except as otherwise provided in this section and 25 239.010 1. 30 NRS 1.4683, 1.4687, 1A.110, 3.2203, 41.071, 49.095, 49.293, 62D.420, 62D.440, 62E.516, 62E.620, 62H.025, 62H.030, 62H.170, 31 32 62H.220, 62H.320, 75A.100, 75A.150, 76.160, 78.152, 80.113, 33 81.850, 82.183, 86.246, 86.54615, 87.515, 87.5413, 87A.200, 34 87A.580, 87A.640, 88.3355, 88.5927, 88.6067, 88A.345, 88A.7345, 35 89.045, 89.251, 90.730, 91.160, 116.757, 116A.270, 116B.880, 119.260, 119.265. 119.267, 36 118B.026, 119.280, 119A.280, 119A.653, 119A.677, 119B.370, 119B.382, 37 120A.690, 125.130, 125B.140, 126.141, 126.161, 126.163, 126.730, 127.007, 127.057, 38 39 127.130, 127.140, 127.2817, 128.090, 130.312, 130.712, 136.050, 40 159.044. 159A.044. 172.075, 172.245. 176.01249. 176.015. 41 176.0625, 176.09129, 176.156, 176A.630, 178.39801, 178.4715, 42 178.5691, 179.495, 179A.070, 179A.165, 179D.160, 200.3771, 205.4651, 43 200.3772. 200.5095. 200.604. 202.3662. 209.392. 44 209.3923, 209.3925, 209.419, 209.429, 209.521, 211A.140, 213.010, 213.040, 213.095, 213.131, 217.105, 217.110, 217.464, 45

217.475, 218A.350, 218E.625, 218F.150, 218G.130, 218G.240, 1 218G.350, 226.300, 228.270, 228.450, 228.495, 228.570, 231.069, 2 3 231.1473. 233.190. 237.300, 239.0105, 239.0113, 239.014. 239B.030, 239B.040, 239B.050, 239C.140, 239C.210, 239C.230, 4 239C.250, 239C.270, 239C.420, 240.007, 241.020, 241.030, 5 241.039, 242.105, 244.264, 244.335, 247.540, 247.550, 247.560, 6 250.087, 250.130, 250.140, 250.150, 268.095, 268.0978, 268.490, 7 269.174, 271A.105, 281.195, 281.805, 8 268.910, 281A.350, 9 281A.680, 281A.685, 281A.750, 281A.755, 281A.780, 284.4068, 286.110, 286.118, 287.0438, 289.025, 289.080, 289.387, 289.830, 10 293.4855, 293.5002, 293.503, 293.504, 293.558, 293.5757, 293.870, 11 293.906, 293.908, 293.910, 293B.135, 293D.510, 331.110, 332.061, 12 332.351, 333.333, 333.335, 338.070, 338.1379, 338.1593, 338.1725, 13 349.597, 349.775, 338.1727, 348.420, 353.205, 353A.049. 14 353A.100, 353C.240, 360.240, 353A.085, 360.247, 360.255, 15 360.755, 361.044, 361.2242, 361.610, 365.138, 366.160, 368A.180, 16 370.257, 370.327, 372A.080, 378.290, 378.300, 379.0075, 379.008, 17 379.1495, 385A.830, 385B.100, 387.626, 387.631, 388.1455, 388.259, 388.501, 388.503, 388.513, 388.750, 388A.247, 388A.249, 18 19 20 391.033, 391.035, 391.0365, 391.120, 391.925, 392.029, 392.147, 392.264, 392.271, 392.315, 392.317, 392.325, 392.327, 392.335, 21 22 392.850, 393.045, 394.167, 394.16975, 394.1698, 394.447, 394.460, 396.525, 23 394.465. 396.3295. 396.405. 396.535. 396.9685. 24 398A.115, 408.3885, 408.3886, 408.3888, 408.5484, 412.153, 25 414.280, 416.070, 422.2749, 422.305, 422A.342, 422A.350. 425.400, 427A.1236, 427A.872, 432.028, 432.205, 432B.175, 26 432B.280, 432B.290, 432B.407, 432B.430, 432B.560, 432B.5902, 27 28 432C.140, 432C.150, 433.534, 433A.360, 437.145, 437.207, 29 439.4941, 439.840, 439.914, 439B.420, 439B.754, 439B.760, 30 440.170, 441A.195, 441A.220, 441A.230, 442.330, 442.395, 31 442.735, 442.774, 445A.665, 445B.570, 445B.7773, 447.345, 449.209, 449.245, 449.4315, 449A.112, 450.140, 450B.188, 32 453.164, 453.720, 453A.610, 453A.700, 458.055, 458.280, 459.050, 33 459.3866, 459.555, 459.7056, 459.846, 463.120, 463.15993, 34 35 463.240, 463.3403, 463.3407, 463.790, 467.1005, 480.535, 480.545, 36 480.935, 480.940, 481.063, 481.091, 481.093, 482.170, 482.5536, 37 483.340, 483.363, 483.575, 483.659, 483.800, 484A.469, 484E.070, 485.316, 501.344, 503.452, 522.040, 534A.031, 561.285, 571.160, 38 39 584.655. 587.877, 598.0964, 598.098, 598A.110, 599B.090. 603.070, 603A.210, 604A.303, 604A.710, 612.265, 616B.012, 40 41 616B.015, 616B.315, 616B.350, 618.341, 618.425, 622.238, 622.310, 623.131, 623A.137, 624.110, 624.265, 624.327, 625.425, 42 43 625A.185, 628.418, 628B.230, 628B.760, 629.047, 629.069, 630.133, 630.2673, 630.30665, 630.336, 630A.555, 631.368. 44 632.121, 632.125, 632.3415, 632.405, 633.283, 633.301, 633.4715, 45

633.524, 634.055, 634.214, 634A.185, 635.158, 636.107, 637.085, 1 2 638.087. 638.089, 639.2485, 637B.288, 639.570. 640.075. 3 640A.220, 640B.730, 640C.580, 640C.600, 640C.620, 640C.745, 640D.190, 640E.340, 641.090, 641.221, 641.325, 4 640C.760, 641A.191, 641A.262, 641A.289, 641B.170, 641B.282, 641B.460, 5 6 641C.760, 641C.800, 642.524, 643.189, 644A.870, 645.180, 7 645.625, 645A.050, 645A.082, 645B.060, 645B.092, 645C.220, 645C.225, 645D.130, 645D.135, 645G.510, 645H.320, 645H.330, 8 9 647.0945, 647.0947, 648.033, 648.197, 649.065, 649.067, 652.228, 653.900, 654.110, 656.105, 657A.510, 661.115, 665.130, 665.133, 10 669.275, 669.285, 669A.310, 671.170, 673.450, 673.480, 675.380, 11 12 676A.340, 676A.370, 677.243, 678A.470, 678C.710, 678C.800, 13 679B.122, 679B.124, 679B.152, 679B.159, 679B.190, 679B.285, 679B.690, 680A.270, 681A.440, 681B.260, 681B.410, 681B.540, 14 15 683A.0873, 685A.077, 686A.289, 686B.170, 686C.306, 687A.110, 16 687A.115, 687C.010, 688C.230, 688C.480, 688C.490, 689A.696, 692C.3507, 692C.3536, 17 692C.190, 692A.117, 692C.3538, 18 692C.354, 692C.420, 693A.480, 693A.615, 696B.550, 696C.120, 703.196, 704B.325, 706.1725, 706A.230, 710.159, 711.600, and 19 20 section 12 of this act, sections 35, 38 and 41 of chapter 478, Statutes of Nevada 2011 and section 2 of chapter 391, Statutes of 21 22 Nevada 2013 and unless otherwise declared by law to be 23 confidential, all public books and public records of a governmental 24 entity must be open at all times during office hours to inspection by 25 any person, and may be fully copied or an abstract or memorandum 26 may be prepared from those public books and public records. Any 27 such copies, abstracts or memoranda may be used to supply the 28 general public with copies, abstracts or memoranda of the records or 29 may be used in any other way to the advantage of the governmental 30 entity or of the general public. This section does not supersede or in 31 any manner affect the federal laws governing copyrights or enlarge, 32 diminish or affect in any other manner the rights of a person in any written book or record which is copyrighted pursuant to federal law. 33

2. A governmental entity may not reject a book or record 35 which is copyrighted solely because it is copyrighted.

36 A governmental entity that has legal custody or control of a 3. 37 public book or record shall not deny a request made pursuant to 38 subsection 1 to inspect or copy or receive a copy of a public book or 39 record on the basis that the requested public book or record contains 40 information that is confidential if the governmental entity can redact, delete, conceal or separate, including, without limitation, 41 42 electronically, the confidential information from the information 43 included in the public book or record that is not otherwise 44 confidential.

2 public record in an electronic format by means of an electronic 3 medium. Nothing in this subsection requires a governmental entity 4 to provide a copy of a public record in an electronic format or by 5 means of an electronic medium if: 6 (a) The public record: 7 (1) Was not created or prepared in an electronic format; and 8 (2) Is not available in an electronic format; or 9 (b) Providing the public record in an electronic format or by 10 means of an electronic medium would: 11 (1) Give access to proprietary software; or (2) Require the production of information that is confidential 12 13 and that cannot be redacted, deleted, concealed or separated from 14 information that is not otherwise confidential. An officer, employee or agent of a governmental entity who 15 5. 16 has legal custody or control of a public record: 17 (a) Shall not refuse to provide a copy of that public record in the 18 medium that is requested because the officer, employee or agent has 19 already prepared or would prefer to provide the copy in a different 20 medium. 21 (b) Except as otherwise provided in NRS 239.030, shall, upon 22 request, prepare the copy of the public record and shall not require

23 the person who has requested the copy to prepare the copy himself 24 or herself. 25

Sec. 56. NRS 241.016 is hereby amended to read as follows:

26 241.016 1. The meetings of a public body that are quasi-27 judicial in nature are subject to the provisions of this chapter.

28 2. The following are exempt from the requirements of this 29 chapter:

30 (a) The Legislature of the State of Nevada.

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4.

31 (b) Judicial proceedings, including, without limitation, proceedings before the Commission on Judicial Selection and, 32 33 except as otherwise provided in NRS 1.4687, the Commission on 34 Judicial Discipline.

35 (c) Meetings of the State Board of Parole Commissioners when 36 acting to grant, deny, continue or revoke the parole of a prisoner or 37 to establish or modify the terms of the parole of a prisoner.

3. Any provision of law, including, without limitation, NRS 38 39 91.270, 219A.210, 228.495, 239C.140, 239C.420, 281A.350, 40 281A.690, 281A.735, 281A.760, 284.3629, 286.150, 287.0415, 287.04345, 287.338, 288.220, 288.590, 289.387, 295.121, 360.247, 41 42 388.261, 388A.495, 388C.150, 388D.355, 388G.710, 388G.730, 43 392.147, 392.467, 394.1699, 396.3295, 414.270, 422.405, 433.534. 435.610, 442.774, 463.110, 480.545, 622.320, 622.340, 630.311, 44

If requested, a governmental entity shall provide a copy of a

1 630.336, 631.3635, 639.050, 642.518, 642.557, 686B.170, 2 696B.550, 703.196 and 706.1725, *and section 9 of this act,* which:

3 (a) Provides that any meeting, hearing or other proceeding is not
4 subject to the provisions of this chapter; or

5 (b) Otherwise authorizes or requires a closed meeting, hearing 6 or proceeding,

7  $\rightarrow$  prevails over the general provisions of this chapter.

8 4. The exceptions provided to this chapter, and electronic 9 communication, must not be used to circumvent the spirit or letter of 10 this chapter to deliberate or act, outside of an open and public 11 meeting, upon a matter over which the public body has supervision, 12 control, jurisdiction or advisory powers.

13 **Sec. 57.** The provisions of NRS 354.599 do not apply to any 14 additional expenses of a local government that are related to the 15 provisions of this act.

16 **Sec. 58.** 1. Except as otherwise provided in this section, the 17 Commission on Ethics:

(a) Shall apply the amendatory provisions of this act which
govern the procedures applicable to administrative proceedings
arising under chapter 281A of NRS to any such proceedings that are
within the jurisdiction of the Commission and are commenced on or
after July 1, 2021, whether or not the conduct at issue in such
proceedings occurred before July 1, 2021.

(b) May apply the amendatory provisions of this act which 24 25 govern the procedures applicable to administrative proceedings arising under chapter 281A of NRS to any such proceedings that 26 27 were commenced before July 1, 2021, and are still within the 28 jurisdiction of the Commission and pending before the Commission on July 1, 2021, unless the Commission determines that such an 29 30 application would be impracticable. unreasonable or 31 unconstitutional under the circumstances, in which case the 32 Commission shall apply the procedures in effect before July 1, 33 2021.

2. The amendatory provisions of sections 10, 16, 29, 30, 31 and 35 33 of this act do not apply to any conduct occurring before July 1, 36 2021.

37 Sec. 59. This act becomes effective on October 1, 2023.

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# Nevada Commission Ethics

2022 Legislative Subcommittee

# Options for Statutory Language Development

# Issue 1: Language about abuse of power

### AB65 Language

Sec. 10. 1. A public officer or employee shall not use the public officer's or employee's position or power in government to take any actions or compel a subordinate to take any actions that a reasonable person would find, based on the given set of facts and circumstances, to be a gross or unconscionable abuse of official position or power that would undermine the integrity or impartiality of a reasonable person in the public officer's or employee's position under the same or similar facts and circumstances.

2. The provisions of this section must not be interpreted to apply to any allegations claiming only bias, error or abuse of discretion in any findings, decisions, policy-making or other actions taken by a public officer or employee within the normal course and scope of his or her position or power in government.

# Alternative 1 – Eliminating Integrity or Impartiality Language / Adding Factors

Sec. 10. 1. A public officer or employee shall not use the public officer's or employee's position or power in government to take any actions or compel a subordinate to take any actions that a reasonable person would find, based on the given set of facts and circumstances, to be a gross or unconscionable abuse of official position or power. In determining whether the abuse is gross or unconscionable the Commission may consider evidence of personal animus, ability of the affected individual to appeal or take other reasonable action to remedy the harm, or .....

2. The provisions of this section must not be interpreted to apply to any allegations claiming only bias, error or abuse of discretion in any findings, decisions, policy-making or other actions taken by a public officer or employee within the normal course and scope of his or her position or power in government.

### Alternative 2 – Unwarranted Harm Motived by Pecuniary Interest

Sec. 10. 1. A public officer or employee shall not use the public officer's or employee's position or power in government to take any actions or compel a subordinate to take any actions that cause unwarranted harm or damage to an individual in order to benefit the significant pecuniary or personal interest of the public officer or employee or the pecuniary or personal or other interests any person to whom the public officer or employee has a commitment in a private capacity

### **Issue 2: Timeframes for Completion**

### AB65 Language

"Upon the request of the Executive Director, the presiding officer of the review panel may grant one or more extensions of this time limit for good cause shown. If the presiding officer grants such an extension, the presiding officer must set a specific and reasonable time period for such an extension."

Similar language for each time frame in the bill.

# Alternative 1 – Blanket Extension Authority

The creation of a section of the bill to the effect "Upon the request of the Executive Director, the Chair may issue one or more extensions of procedural time limits in this Chapter for good cause shown. If the Chair grants such an extension, the Chair must set a specific and reasonable time period for such an extension"

Alternative 2 – Extended Base Timeframes, no extensions unless waived

Amending language as follows

Advisory Opinions from 45 days to 90 days

Investigation from 70 days to 180 days

# Alternative 3 – Limited Extension Authority

The creation of a section of the bill to the effect "Upon the request of the Executive Director, the Chair may issue one 90-day extension of procedural time limits in this Chapter for good cause shown. Subsequent to any extension granted by the Chair, upon the request of the Executive Director, the Commission may issue one or more 90-day extensions of a procedural limit found in this Chapter."